

## Strategy Overview

As of 3/31/25

SRI is a socially responsible long-term tactical growth strategy focused on long-term capital appreciation. Socially responsible investing (SRI) avoids industries with generally negative impacts on society and seeks out companies that are pioneering in environmental, social and corporate governance (ESG) operations. The strategy incorporates restrictions on these industries using third-party ESG analysis applied to our proprietary market outlook and stock scoring models. It invests in leading growth stocks during favorable equity environments and scales to cash to preserve gains when bear market risk is high. Adhering to a flexible investment mandate allows for allocation shifts that range between 0%-100% equities exposure.

### Primary Objectives

Long-Term Growth coupled with SRI Considerations

### Asset Allocation Range

Tactical allocation shifts based on market conditions: 0%-100%

## Socially Responsible Investing for Modern Investors

- Progressively-minded investors seek thoughtful solutions to balance their financial goals of portfolio growth and equities exposure with investment in socially responsible companies. The CAN SLIM® SRI strategy is committed to dual objectives of long-term growth while supporting sustainable industries. We believe many companies that are solving some of the biggest global challenges are also best positioned for growth, now and as these issues become more impactful to society.

### SRI Filters

- Analyzing investments based solely upon ESG filters can inadvertently include industries that are not in line with progressive investment sensibilities. To ensure that CAN SLIM® SRI invests according to ESG qualities but also incorporates socially responsible considerations, we implement preliminary values-based restrictions on the following industries:

Gambling	Pawn Shops
Civilian Firearms	Adult Entertainment
Payday Loans	Tobacco
Military Weapons	

- For companies that operate outside of the above industries, we perform additional analysis of company operations based on the three attributes of environmental, social and corporate governance, to produce an overall ESG rating. The strategy only invests in companies rated above a certain ESG threshold.

## Investment Process

NorthCoast seeks long-term growth with downside risk protection through the implementation of a systematic 4-step process



# Strategy Benefits and Management

As of 3/31/25

## Total Performance (% Net)

	2019*	2020	2021	2022	2023	2024	YTD 2025	Total Return
Socially Responsible Investing	5.5	3.2	25.6	-9.0	4.4	8.7	1.2	43.0
Tactical Competitor Average	4.9	9.0	13.2	-15.6	10.6	10.3	-1.7	31.1

\* Performance results from 4/30/2019 - 12/31/2019.

## Annualized Return (Net %)

	1-Yr	3-Yr	5-Yr	Since Inception 5/1/2019
Socially Responsible Investing	3.9	2.7	10.5	6.1
Tactical Competitor Average	2.3	2.4	7.8	4.6

## Strategy Benefits

Complementary	Low correlation to standard equity benchmarks
Defensive	Able to shift between 100% equity and 100% cash
Disciplined	Quantitatively researched and rules-based management
Transparent	Separately managed account (SMA) structure provides real-time account access

## Management

Firm	NorthCoast Asset Management
Home Office	Greenwich, Connecticut
Account Types	All brokerage, retirement, and trust accounts welcome
Contact	203.900.8836 info@northcoastam.com northcoastam.com

## About NorthCoast

NorthCoast Asset Management is an established financial advisor in the field of tactical investment management, specializing in quantitative research and constructing risk-managed equity portfolios. We continually innovate solutions designed to mitigate capital loss during unfavorable market cycles. We believe our experienced management team, long-term portfolio performance and premier partnerships make NorthCoast a strong option for astute growth-oriented investors seeking downside risk protection.

[Learn More](#)



## Important Disclosure Information

Kovitz Investment Group Partners, LLC (Kovitz) dba NorthCoast Asset Management is an investment adviser register with the Securities and Exchange Commission under the Investment Advisers Act of 1940 that provides investment management services to individual and institutional clients. Effective June 1, 2024, NorthCoast Asset Management underwent an organizational change and all persons responsible for portfolio management became employees of Kovitz Investment Group Partners, LLC. Prior to June 1, 2024, NorthCoast Asset management was previously overseen by Focus partner Connectus Wealth since November 1, 2021. From 2008 until November 2021, the Firm was defined as NorthCoast Investment Management, LLC. The accounts managed at the predecessor firms are sufficiently similar to the accounts managed at NorthCoast Asset Management, such that the performance results would provide relevant information to clients or investors.

Past Performance is not indicative of future results. Net performance reflects the deduction of actual fees, up to 1.00% annually, applied quarterly (up to 0.25% per quarter). All investments involve risk, including loss of principal. NorthCoast Asset Management (NCAM) claims compliance with the Global Investment Performance Standards (GIPS®). GIPS® is a registered trademark of CFA Institute. The CFA Institute does not endorse or promote this organization, nor does it warrant the accuracy or quality of the content contained herein. NorthCoast Asset Management is a d/b/a of, and investment advisory services are offered through, Kovitz Investment Group Partners, LLC (Kovitz), an investment adviser registered with the United States Securities and Exchange Commission (SEC). Registration with the SEC or any state securities authority does not imply a certain level of skill or training. More information about Kovitz can be found at [www.kovitz.com](http://www.kovitz.com).

The CAN SLIM® investment program is a tactical, long-term growth strategy focused on capital appreciation with a secondary objective of downside protection. The strategy invests in leading growth stocks during favorable equity environments and scales to cash to preserve gains when bear market risk is high. The strategy adheres to a flexible investment mandate that allows for allocation shifts that range between 0%-100% exposure to equities. Positions are managed (purchased and liquidated) through a combination of CAN SLIM® guidelines and a proprietary stock scoring system designed to build a comprehensive growth portfolio. CAN SLIM® is a registered trademark of O'Neil Capital Management Inc. Tactical Competitor Avg = Morningstar Tactical Allocation Category Average. Tactical Allocation portfolios seek to provide capital appreciation and income by actively shifting allocations between asset classes. These portfolios have material shifts across equity regions and bond sectors on a frequent basis. To qualify for the Tactical Allocation category, the fund must first meet the requirements to be considered in the allocation category.

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